

Sullivan Retirement Resources, LLC

Supplemental Brochure

Daniel Sullivan

Form ADV Part 2B

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www.SullivanRetirement.com

This brochure supplement provides information about Dan Sullivan that supplements the Sullivan Retirement Resources, LLC brochure. You should have received a copy of that brochure. Please contact Mr. Sullivan, the President and Chief Compliance Officer, at either dan@sullivanretirement.com or by phone at (774) 847-9949, if you did not receive the Sullivan Retirement Resources, LLC brochure. The information in this Brochure supplement has not been approved or verified by the United States Securities and Exchange Commission or by any state securities regulatory agency.

Dan Sullivan is an investment advisor representative for Sullivan Retirement Resources, LLC. Registration of an investment advisor representative does not imply any level of skill or training.

Additional information about Mr. Sullivan (CRD #1274817) is available on the SEC's website: www.adviserinfo.sec.gov.

Dated: March 31, 2022

DANIEL SULLIVAN

Executive Officer/Investment Advisor Representative

ITEM 2. EDUCATIONAL BACKGROUND AND BUSINESS EXPERIENCE

Educational Background: Clark University; BA Economics; 1983

Business Background:

- Sullivan Retirement Resources, LLC; 03/2018 – Present; Investment Advisor Representative
- The Investment Center, Inc.; 06/2012 – 03/2018; Registered Representative
- IC Advisory Services, Inc.; 10/2012 – 03/2018; Registered Representative
- Signator Investment, Inc.; 01/2007 – 06/2012; Registered Representative
- IFMG Securities Inc.; 02/2005 – 01/2007; Financial Consultant

ITEM 3. DISCIPLINARY HISTORY

Registered investment advisers are required to disclose all material facts regarding any legal or disciplinary events that would be material to your evaluation of each supervised person providing investment advice.

A criminal or civil action in a domestic, foreign, or military court of competent jurisdiction. *Nothing to report.*

An administrative proceeding before the SEC, any other federal regulatory agency, any state regulatory agency, or any foreign financial regulatory authority. *Nothing to report.*

A self-regulatory organization proceeding. *Nothing to report.*

ITEM 4. OTHER BUSINESS ACTIVITIES

Dan Sullivan is a licensed insurance agent. In this capacity, he may recommend certain insurance and annuity products to clients. Those products pay a sales commission to Mr. Sullivan. This represents a conflict of interest since there is a financial incentive to Mr. Sullivan to recommend the purchase of those products. As a financial fiduciary, Mr. Sullivan mitigates this conflict of interest by placing the client's interests ahead of his own. Sullivan Retirement Resources has policies and procedures in place addressing the handling of all conflicts. Clients are not obligated to purchase any insurance or annuity products recommended by Mr. Sullivan. The same or equivalent products are readily available from other sources at comparable or lesser cost.

Mr. Sullivan may receive additional compensation for soliciting firm clients to other registered investment advisors who provide certain investment advisory services. The solicitation fee is based on the amount of the clients' assets under management. This practice creates a conflict of interest since there is a financial incentive to recommend these third-party services. This conflict is mitigated by a required disclosure of the conflict and

adherence to the firm's Code of Ethics and the requirements of the firm for whom clients are solicited.

ITEM 5. ADDITIONAL COMPENSATION

Mr. Sullivan may earn additional compensation from his activities as an insurance agent and as a solicitor for other investment advisors.

ITEM 6. SUPERVISION

Dan Sullivan is the President and Chief Compliance Officer of Sullivan Retirement Resources, LLC. Mr. Sullivan may be reached at (774) 847-9949 or by email at dan@sullivanretirement.com. While Mr. Sullivan is bound by the Code of Ethics and other relevant parts of the Sullivan Retirement Resources LLC's policies and procedures. As Chief Compliance Officer he does not have any internal supervision. Dan Sullivan and Sullivan Retirement Resources, LLC, are subject to regulatory oversight by the State of Massachusetts.

ITEM 7. REQUIREMENTS FOR STATE-REGISTERED ADVISERS

A. Arbitration or Civil, Self-Regulatory Organization or Administrative Proceedings

Mr. Sullivan has not been the subject of any arbitration, civil, self-regulatory organization, or administrative finding.

B. Bankruptcy History

Mr. Sullivan filed a bankruptcy petition which was discharged in 1993.